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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

GOGERTY, Joseph Kevin

SERIAL NO

09/760,324

FILED

January 12, 2001

TITLE

HYBRID MAIZE PLANT AND SEED 34N16

Grp./A.U.

1638

Examiner

FOX, David T.

Conf. No.

2686

Docket No.

P04811USO

## PRELIMINARY AMENDMENT

Mail Stop Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the communication from the Examiner dated February 25, 2003, a Final Rejection, and an Advisory Action dated June 11, 2003, it is respectfully requested that the following Preliminary Amendment and Request for Continued Examination (RCE) be entered and made of record. It is believed that the following amendments and remarks place the application in the form for allowance, or at least, place the claims in a better form for Appeal. No new matter is presented, as such the Amendment is proper under 35 C.F.R. § 1.116. Applicant respectfully requests reconsideration.

### Introductory Comments

Claims 1-42 are pending in the present application.

# CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this document and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service in an envelope as "Express Mail Post Office to Addressee" addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, prior to 5:00 p.m. on the 11th day of July, 2003.

Michelle L. Woods

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PTO/SB/30 (05-03) Approved for use through 04/30/2003, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Express Mail Label No. EV 330572376 US Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. 09/760,324 Request Application Number For oficial decement received 9/12/cs January 12, 2001 Filing Date Continued Examination (RCE) GOGERTY, Joseph Kevin First Named Inventor **Transmittal** 1638 Address to: Art Unit Mail Stop RCE Fox, David T. Commissioner for Patents Examiner Name P.O. Box 1450 P04811US00 Alexandria, VA 22313-1450 Attorney Docket Number This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1895, or to any design application. See instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2. Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. Consider the arguments in the Appeal Brief or Rely Brief previously filed on II. ~ Enclosed Information Disclosure Statement (IDS) Amendment/Reply I. Affidavit(s)/ Declaration(s) ii. Miscellaneous Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a ... months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(I) required) The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. Fees The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. <u>26-008</u>4 RCE fee required under 37 CFR 1.17(e) Extension of time fee (37 CFR 1.136 and 1.17) Other iñ. Check in the amount of \$ \_ 860.00 enclosed Payment by credit card (Form PTO-2038 enclosed) WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED Registration No. (Attorney/Agent) 52,550 T. AKRAD Name (Print/Type) Signature CERTIFICATE OF MAILING OR TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below Name (Print/Type) LILA A. T. AKRAD

Signature

Date

Date

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22213-1450.

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MCKEE VOORHEES & SEASE

ATTORNEYS AT LAW

801 GRAND AVENUE • SUITE 3200 • DES MOINES, IA 50309-2721 PHONE: 515-288-3667 • FAX: 515-288-1338 • www.ipmvs.com

September 29, 2003 DATE:

Examiner David T. Fox TO:

COMPANY: United States Patent & Trademark Office

PHONE:

FAX: (703) 746-5060

Lila A. T Akrad FROM:

NUMBER OF PAGES (Including cover):

GOGERTY, Joseph Kevin APPLICANT:

SERIAL NO: 09/760,324

**FILED** January 12, 2001

**HYBRID MAIZE PLANT AND SEED 34N16** TITLE

Grp./A.U. 1638

Examiner FOX, David T.

Conf. No. 2686

Docket No. P04811USO

# PRELIMINARY AMENDMENT

As per your request on September 29, 2003.

THE DOCUMENTS ACCOMPANYING THIS FACSIMILE TRANSMITTAL COVER SHEET CONTAIN INFORMATION FROM THE LAW FIRM OF MEKEE, VOORHEES & SEASE WHICH MAY BE CONFIDENTIAL AND/OR LEGALLY PRIVILEGED. THE DOCUMENTS ARE INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE ADDRESSE! DENTIFIED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING THESE DOCUMENTS TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY REVIEW, DISCLOSURE, COPYING, DISTRIBUTION OR THE TAKING OF ANY ACTION IN RELIANCE ON THE CONTENTS OF THIS TRANSMITTED INFORMATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE IMMEDIATELY NOTIFY THIS FIRM SO THAT WE CAN ARRANGE FOR THE RETURN OF THE ORIGINAL DOCUMENTS TO US. THANK YOU.

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Attorney Docket No. P04811US00

SERIAL NO. 09/760,324

INVENTOR: GORGERTY, Joseph Kevin

TITLE:

HYBRID MAIZE PLANT & SEED 34N16

# Please acknowledge receipt:

Request for Continued Examination (RCE) Transmittal (1 page) Fee Transmittal (1 page in duplicate) Preliminary Amendment (15 pages) Appendix A (<u>才</u> pages) Check for \$860.00

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LAA/mlw

July <u>\( \( \, \)</u>, 2003

Attorney Docket No. P04811US00

SERIAL NO. 09/760,324

INVENTOR: GORGERTY, Joseph Kevin

TITLE:

**HYBRID MAIZE PLANT & SEED 34N16** 

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